

ZILKA · KOTAB & FEECE N CENTRAL FAX CENTRA

95 SOUTH MARKET ST., SUITE 420 SAN JOSE, CA 95113

TELEPHONE (408) 971-2573 FAX (408) 971-4660

FAX COVER SHEET

Message: Please deliver to the Petitions Division. Thank you, Dominic M. Kotab		FAX COVER SHEET	
Prom: Dominic M. Kotab Cocket No.: HIT1P020A/SJ0920010068US2 App. No: 10/601,021 Cotal Number of Pages Being Transmitted, Including Cover Sheet: 12 Message: Please deliver to the Petitions Division. Thank you, Dominic M. Kotab	Date: June 27, 2005	Phone Number	Fax Number
Message: Please deliver to the Petitions Division. Thank you, Dominic M. Kotab	To: Petitions Division, USPTO		(703) 872-9306
Message: Please deliver to the Petitions Division. Thank you, Dominic M. Kotab	From: Dominic M. Kotab		
	Docket No.: HTT1P020A/SJ0920010068US	App. No:	10/601,021
Message: Please deliver to the Petitions Division. Thank you, Dominic M. Kotab Original to follow Via Regular Mail X Original will Not be Sent Original will follow Via Overnight County Original vill follow Via Overnight County Origi	Total Number of Pages Being Transmitted, In	cluding Cover Sheet: 12	A
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	Thank you,		PETT
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> IF YOU DO NOT RECEIVE ALL PAGES OR IF YOU ENCOUNTER ANY OTHER DIFFICULTY, PLEASE PHONE ____ Erica AT (408) 971-2573 AT YOUR EARLIEST CONVENIENCE

June 24, 2/105

JUL 1 4 2005

JUN 2 7 2005



7M5 JUN 30 PH 1: 05

Practitioner's Docket No. HIT1P020A/SJ0920010068US2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Douglas Werner

Application No.: 10/601,021

Group No.: 3729

Examiner: Kim, Paul D. Filed: 06/19/2003

For: PLANAR GAP PRE-FILL METHOD FOR READ HEADS

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

OFFICE OF PETMONS PETITION TO WITHDRAW HOLDING OF ABANDONMENT — OFFICE ACTION NOT RECEIVED

- I hereby petition to withdraw the holding of abandonment in this case, on the basis that the Office 1. Action forming the basis of the abandonment was not received.
- 2. I hereby state:
 - The Office Action indicated, as mailed on August 26, 2004, was not received. (a)
 - (b) A search of the file jacket and the docket records in my office indicates that this Office Action was not received.
 - The PAIR site indicates that the Office Action was returned to the USPTO as (c) undeliverable on August 26, 2004.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

Date: 6-27-2005

37 C.F.R. § 1.10*

as "Express Mail Post Office to Addressee" Mailing Label No.

(mandatory)

Lefacsimile transmitted to the Patent and Trademark Office. (703) 872-9306.

Nancy Rushton

(type or print name of person certifying)

Petition to Withdraw Holding of Abandonment-Office Action Not Received--page 1 of 2

^{*} Only the date of filing († 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (* 1.10) or facsimile transmission (* 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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JUN 2 7 2005

- 3. I attach a copy of the docket record where the non-received Office Action would have been entered had it been received and docketed. Please see page 2 of Exhibit A. This shows how the entry would have appeared on our docket.
- 4. As additional evidence of non-receipt of the Office Action, I also attach:

Exhibit B is a copy of the PAIRConnect Patent Record which shows a direct comparison of the PTO Action Items on the PAIR system and the IP Master Actions listed in our docketing database. The PAIR column shows the mailing of the Non-Final Rejection on 8/26/2004, but there is no action noted as received on our side.

Exhibit C is a copy of the PAIR Image File Wrapper showing the Non-Final Rejection was returned to the USPTO as undelivered on 08/26/2004. Please note that is the same date as the Mailing date of the Action.

Exhibit D is a copy of our Firm's Audit Sheet showing a note from docketing department personnel highlighting the non-receipt of the Office Action in question.

- 5. In consideration of these submissions, it is respectfully requested that the holding of abandonment be withdrawn.
- 6. The petition fee (37 C.F.R. § 1.17(h)-\$130.00) is paid as follows:

Should the Commissioner find that a fee is necessary, authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 50-2587 (Order No. SJ0920010068US2).

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Reg. No.: 42,762 Tel. No.: 408-971-2573 Customer No.: 50535 Signature of Practitioner Dominic M. Kotab Zilka-Kotab, PC P.O. Box 721120

San Jose, CA 95172-1120

USA

Patent Case Print [ID 1064]

Page 1 of 2

Exhibit A



Patent: HIT1P020A, United States,

Division

SVIPG

Patent Data

Docket No. Country Case Type Relation Type Filing Type Filing Number

Status Sub Status

Parent Country Parent Number Application Number Patent Number Publication Number

Assigned Convention Type Req Not To Pub Incl

TaxAgent Firm Attorney Administrator Custom Party #2 Custom Party #3 Custom Party #4 Custom Party #5 Custom Party #6 Custom Party #7

Custom Party #8 Firm Case Number Custom Code #2 Custom Code #3 Custom Code #4 Custom Code #5 Custom Code #6 Custom Code #7

Customer Code OOPP

HIT1PO20A **United States** Regular Division National

Filed

United States 09/875,405 10/601,021

2004-0085680 Assignment Made Based on Priority Case

Senior Attorney Primary Attorney

Current Owner Previous Owner

Client Case No. First Filing Date

Parent Filing Date Parent Grant Date Application Date

Ind. Claims/Designs

Dominic M. Kotab

5309-2001-0068US2

6/5/2001

6/5/2001

6/19/2003

5/6/2004

6/5/2001

09/875,405

Hitachi Global Storage Technologies, Inc.

Hitachi Global Storage Technologies, Inc.

Client/Division

Sub Status Date

Grant Date **Publication Date**

Total Claims **TotalClasses**

ConfirmationNumber Tax Base Date **Next Tax Date Expiration Date** Custom Text #1 Custom Date #1 Alt Case No

Priority Date US Case No Custom Date #3

Custom Text #4 Custom Date #4 Text #5 Text #6

Text #7 Text #8 Confirm No **Customer Name**

Silicon Valley IP Group

Title

Planar Gap Pre-Fill Method For Read Heads

Description

Current Expense Information

Other Case-Related Information

Actions

Action Code

Action Due Date 8/1/2004

Taken Deadline Completed Date

Date

Post Card Received

Who

Action Notes

Information Disclosure Statement

7/29/2004

Dominic

M. Kotab

Patent Case Print [ID 1064]

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Revised publication date	5/6/2004	5/6/2004	Dominic M. Kotab	
Priority Doc. To Be Filed	10/19/2003	10/19/2003	Dominic M. Kotab	
Filing Postcard	7/19/2003	6/19/2003	Dominic M. Kotab	
Filing Receipt	8/19/2003	9/16/2003	Dominic M. Kotab	
No Foreign Fillng	6/19/2004	6/19/2004	Dominic M. Kotab	
Foreign filing - 11 month remi	5/19/2004	6/19/2004	Dominic M. Kotab	
Recorded Assignment Received	8/19/2003		Dominic M. Kotab	
Preliminary Amendment		6/19/2003	Dominic M. Kotab	
Status Inquiry	6/19/2005		Dominic M. Kotab	
Reminder	8/1/2004	7/29/2004	Dominic M. Kotab	
Check PAIR	8/29/2004	8/11/2004	Dominic M. Kotab	Confirm receipt of IDS
File Audit Date		4/11/2005		
Notes		4/11/2005		During the file audit, PAIR shows that a non- final rejection was mailed on 8/26/2004, but we never received it. The file was given to Dominic who said he'd follow up with the examiner.
Follow up	4/18/2005		Dominic M. Kotab	Regarding the non-final rejection that PAIR says was mailed 8/26/2004 that we never received
Follow up	4/25/2005		Dominic M. Kotab	Regarding the non-final rejection that PAIR says was mailed 8/26/2004 that we never received
Office Action		8/26/2004	Dominic	
Mailed Date		0, 20, 200 .	M. Kotab	1 (1/11/05
Office Action 3 mos.	11/26/2004		Dominic M. Kotab	entered 4/11/05 during Pile audit
Office Action 6 mos.	2/26/2005		Dominic M. Kotab) auring rile audit
Inventors				
Inventor	Custom Text #2 Assignment Da	ste Inventor Date #2 Tour	entor 1 Toy	rentor 2 Real Number 1 Real Number 2
Douglas Werner	5/31/2001	ste inventor Date #2 inve	SHOL T TUA	entor 2 Rear Number 1 Rear Number 2

CreateUser: dbo

CreateDate: 6/23/2003

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UpdateUser: Melissa

UpdateDate: 4/11/2005

4/20/2005 Page 1

	Exhibit B	Planar Gap Pre-Fill Method For Read Heads IP Master Action Not	CaseType: Regular Relationship: Division Filing Type: National Filing #: Numba Application Publication Patent Regular
3/3/2004 Priority Doc. To Be Filed 10/19/2000 Priority Doc. To Be Filed 10/19/2000 9/16/2003 Filling Receipt 9/16/2003 9/15/2003 9/15/2003 9/15/2003 9/15/2003	19/24/200 8/26/2004 8/24/2004 8/11/2004 8/11/2004 8/11/2004 8/11/2004 8/11/2004 8/11/2004 Reminder 7/29/2004 Reminder 7/29/2004 Reminder 7/29/2004 Reminder 7/29/2004 Reminder 7/29/2004 Reminder 7/29/2004 Revised publication date 5/6/2004	es ns	IP MASTER Dominic M. Kotab Hitachi Global Storage Technologies. Inc. Hitachi Global Storage Hitachi Global Storage Technologies. Inc. Date 021 6/19/2003 Fill 085680 5/6/2004
1/3/2004 Case Docketed to Examiner in GAU 10/19/2003 Spylication Is Now Complete 9/16/2003 Application Is Now Complete 9/15/2003 Pre-Exam Office Action Withdrawn 9/15/2003 Application Return TO OIPE 9/15/2003 Application Return from OIPE 9/15/2003 Application Is Now Complete 9/15/2003 Application Dispatched from OIPE		5. 5.	e.
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		PAIR PAPP	<u> </u>
		ADJUSTED PTO APP	PAIR Pre Issue Petition: Post Issue Pet.: PTO Adjustment: PTO Delay: Three Year Delay: Applicant Delay: Total PTA:
	7	ESTIMATED PTO APP	PAIR ADJ EST 7
		Corrected . Date	ES 10:45:00 AM

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6/19/2003
Preliminary Amendment 6/19/2003
Filing Postcard 6/19/2003

8/26/2003 7/27/2003

PAIRConnect Patent Record

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Cleared by OIPE CSR
IFW Scan & PACR Auto Security Review Initial Exam Team nn

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PAIR:

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Exhibit C



United States Patent and Trademark Office

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	This application	File Wrapper for Application No.:10/601 is officially maintained in electronic form. To View: Click cument(s) and click Download.	
	Mail Room Date	Document Description	Document Category :
	12/21/2004	Change of Address	PROSECUTION
	08/26/2004	Bibliographic Data Sheet	PROSECUTION
	08/26/2004	Mail returned to USPTO as undelivered	PROSECUTION
Ì	08/26/2004	Non-Final Rejection	PROSECUTION
	08/26/2004	List of references cited by examiner	PROSECUTION
	08/26/2004	Foreign Reference	PROSECUTION
	08/26/2004	NPL Documents	PROSECUTION
	08/26/2004	Search information including classification, databases and other search related notes	PROSECUTION
	08/26/2004	Index of Claims	PROSECUTION
	08/24/2004	Examiner's search strategy and results	PROSECUTION
	08/11/2004	Information Disclosure Statement (IDS) Filed	PROSECUTION
	08/11/2004	Foreign Reference	PRIOR ART
l	06/19/2003	Oath or Declaration filed	PROSECUTION
	06/19/2003	Fee Worksheet (PTO-875)	PROSECUTION
	06/19/2003	Claims Worksheet (PTO-2022)	PROSECUTION
	06/19/2003	Transmittal letter	PROSECUTION
	06/19/2003	Preliminary Amendment	PROSECUTION
	06/19/2003	Specification	PROSECUTION
	06/19/2003	Claims	PROSECUTION
	06/19/2003	Applicant Arguments or Remarks Made in an Amendment	PROSECUTION
	06/19/2003	Specification	PROSECUTION
	06/19/2003	Claims	PROSECUTION
	06/19/2003	Abstract	PROSECUTION

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06/19/2003 <u>Drawings</u>	PROSECUTION
06/19/2003 Fee Worksheet (PTO-875)	PROSECUTION
Sorted By : Mail Room Date	

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Exhibit D

US Patent Applications



2005 File Audit _Application Number _10/601,021 Docket Number 4-17000A/ ST09-2001-0068WSQ Filing Date Match in Docket, FR, Express Mail Receipt and Postcard? Foreign Filing Foreign Filing Date Docketed **Priority Date** Rescind Non-Publication Request 45 Days after FF docketed (after 11/29/00) Date Rescinded Small Entity Status Small Entity Status Claimed? Loss of Entitlement Filed? Assignment Verify Correct Assignee Verify Recordation Petition to Make Special 6 & 9 month follow-ups docketed? Status Check Status OA docketed (2 yrs. from filing date) IDS: PAIR Verification Date/Type of Last Action Sent Verification of Receipt of Last Action Notes: PAIR Shows that a non-final rei

was mailed to us 8/26/04, but we neve